# Role of Prophylactics Antibiotics in Clean Head and Neck Surgery in a Tertiary Care Center of Nepal: A Prospective Comparative Study

Deepak Paudel,<sup>1</sup> Anil Bikram karki,<sup>2</sup> Amod Shrestha<sup>3</sup>

<sup>1</sup>Department of ENT and HNS, BPKIHS, Dharan, Nepal, <sup>2</sup>Department of Surgical Oncology, Head and Neck Oncology Unit, BPKMCH, Bharatpur Nepal, <sup>3</sup>Narayani Hospital Birgunj, Nepal.

#### ABSTRACT

**Background:** Surgical site infections are well recognized complications of any surgical procedures. In head and neck surgeries, prophylactic antibiotics are commonly used to prevent Surgical site infections, in contaminated and clean contaminated procedures. Guidelines advised against routine antibiotics use in clean surgeries, but in our setting, non-compliance is frequent, resulting excessive antibiotics use. Objective of the study was to compare the Surgical site infections rate between prophylactics antibiotics group and no antibiotics group in clean head and neck surgery.

**Methods:** It was a prospective comparative study conducted at a tertiary care centre of eastern Nepal. Patients were assigned to two groups, one receiving prophylactic antibiotics and another receiving no antibiotics.

**Results:** Out of 131 patients, 66 received prophylactic antibiotics and 65 did not. Thyroidectomy was the most common surgery. The surgical site infection rate was 6.06% in antibiotic group and 7.69% in no antibiotic group, the difference was not significant (p=0.744). The risk reduction of surgical site infection with use of antibiotics was 0.0163 and number need to treat was calculated to be 61.35 About 3% of the patients who were administered antibiotics experienced adverse drug reactions. Factors, such as gender, amount of blood loss, smoking, placement of drains had no significant impact on Surgical site infections rate.

**Conclusions:** Prophylactics antibiotics does not offer substantial advantages in preventing surgical site infections and are not advised for such procedures. Moreover, their use increases the financial burden and risk of adverse drug reactions to the patients.

Keywords: Adverse drug reaction; antibiotics; surgical site infections; thyroidectomy.

#### **INTRODUCTION**

Head and neck surgeries, like thyroidectomy and parotidectomy, are classified as clean surgery due to the absence of contact with the aerodigestive tract.<sup>1</sup> Surgical site infections (SSI) in head & neck region vary from 3.5% to 87%.<sup>2</sup> While antibiotics are effective in reducing SSI rates in contaminated surgeries, established guidelines discourage their use in clean head and neck surgeries.<sup>3</sup> Despite this, there is widespread non-compliance, with approximately 40% of clean head and neck surgeries receiving prophylactic antibiotics.<sup>4</sup>This trend is more prevalent among Asian surgeons compared to their European counterparts and is particularly pronounced in developing countries.<sup>5</sup> The unnecessary use of antibiotics results in increased costs, adverse drug reactions, and bacterial resistance. This study

addresses the lack of literature on clean head and neck surgeries in developing regions, aiming to compare SSI rates between those receiving prophylactic antibiotics and those who do not, ultimately working towards minimizing unnecessary antibiotic use.

#### **METHODS**

A prospective comparative study conducted at BP Koirala Institute of Health Sciences (BPKIHS), over a period of 3 years from November 1, 2019, to October 30, 2022, Dharan, Nepal. Ethical approval was granted by Institutional Review Committee (IRC), BPKIHS (IRC/1582/019) before the study commenced. The study included all clean head and neck surgeries patients, but excluded patients with immunocompromised status,

Correspondence: Dr Deepak Paudel, Department of ENT & HNS, BPKIHS, Dharan, Nepal. Email: d\_pakh@yahoo.com, Phone: +9779852056991. surgeries lasting over 4 hours, revision surgeries and previously irradiated necks, and intraoperative blood loss exceeding 1000ml.

A sample size of 128 (64 in each arm) was calculated using a power analysis of 80% to detect the 5% level of significance, based on the study done by Shkedy et al.<sup>6</sup> Written informed consent was obtained from all the patients before their participation in the study. Prior to the surgical procedures, a thorough clinical history, an examination of ear, nose and throat and essential diagnostics tests as well as tests for pre anesthetic checkup were systematically performed. Patients were randomly assigned to either the Prophylactic antibiotics group (Group A) or the Control group (Group B) using a lottery method. One of two designated nurse from otolaryngology operating theatre administered a single dose of intravenous cefazolin, 1 gram of antibiotics diluted in 100 ml of normal saline solution 30-60 minutes prior to the commencement of surgical procedures to patients in Groups A, while patients in group B did not receive any prophylactic antibiotics treatment.

The study's independent variables include age, sex, duration of surgery, blood loss, use of antibiotics. Whereas the surgical site infections, duration of hospital stay and adverse drug reactions to antibiotics, rate of readmission to the hospital within a month of surgery were outcome variables. The diagnosis of Surgical site infection was based on the criteria outlined in the Center for Disease Control and Prevention's guidelines for incisional surgical site infection.<sup>7</sup> SSI was defined as the presence of cellulitis or pus drainage requiring treatment with antibiotics.

Data were collected as per the performa. Pre-operative, intra-operative and post operative data up to one month were recorded. Data were entered in the Microsoft Excel 2007 (Microsoft, Redmond, WA, USA) and were analyzed using SPSS (Statistical Package for the Social Sciences) Version 20. The principal investigator periodically assessed the completeness of the data. Descriptive statistics represented as frequency, percentage, mean and standard deviation. An independent t-test was used to compare the mean of the numerical variables, while the Chi-square test and Fisher's exact test were applied to assess the associations between two categorical variables.

#### RESULTS

Out of the initial 147 patients for the study, 6 patients from antibiotic group and 10 patients from no antibiotic

group were lost to follow up and subsequently excluded from the analysis. The final analysis was conducted on a total of 131, with 66 patients in the prophylactic antibiotics group and 65 in the no antibiotics group. Out of total131 patients, 77 patients were female and 54 were male (F:M = 1.42). The mean (SD) age was 39.24 (16.60) years. The mean (SD) age of the patients in antibiotics group was 39.29 (15.81) years and 39.20 (17. 49) years in no antibiotics group. There was no significant difference in baseline characteristics between the groups. (Table 1)

In both the groups, thyroidectomy was the most performed surgery, followed by excision of neck masses and parotidectomy. The category of neck mass excision encompassed procedures such as excision of branchial cysts, branchial sinuses/fistulas, paragangliomas, nerve sheath tumors etc. There was no significant difference between the nature of surgery performed in both the groups. (Table 2).

The mean duration of hospital stay in prophylactics antibiotics group was 2.86(0.97) days while it was 2.83(0.78) days in control group, the difference was not significant (p 0.186). No significant difference was observed between the groups regarding hematoma, seroma, nerve injury, amount of blood loss, drain placed after surgery. In the prophylactic antibiotics group, two patients (3.03%) experienced adverse reactions to the antibiotic, one developed rash in the extremities and another developed diarrhoea. No patients from either group were readmitted to the hospital within one month of discharge. (Table 3)

Four patients from the prophylactic antibiotics group experienced surgical site infections (SSI). Among them, two developed SSI following total thyroidectomy: a 52-year-old male on the 9<sup>th</sup> postoperative day (POD) and a 47-year-old female with controlled diabetes after 5 days of surgery. Additionally, a 43-year-old male developed SSI on the 8<sup>th</sup> POD following the excision of branchial cyst, and a 28-year-old female smoker developed SSI on the 3<sup>rd</sup> day of Sistrunk operation.

Similarly in the no antibiotic group, five patients developed SSI. A 30-year-old female had SSI on the 6th POD after right hemithyroidectomy. Another case involved a 38-year-old male who developed SSI after 8 days of total conservative parotidectomy. Additionally, a 56-year-old female had SSI after 7 days of excision of pleomorphic adenoma submandibular gland. Similarly, a 39-year-old male had SSI on the 4th POD of excision of branchial cyst, and a 41-year-old female had SSI after 11

days of excision of right vagal schwannoma. All patients with SSI were managed conservatively and received oral co-amoxiclav 625 mg three times a day for 7 days; none required further treatment.

The rate of surgical site infection in the antibiotics group was 6.06%, while it was 7.69% in no antibiotic group, the difference was not statistically significant (0.744). The risk reduction of surgical site infection with use of antibiotics was 0.0163 and number need to treat (NNT) was calculated to be 61.35. This implies that, on average, antibiotics would need to be administered to 61 patients to prevent single surgical site infection. (Table 4).

Table 1. Demographic	profile of the	patients (N=1	31).
Characteristics	Antibiotic group	No antibiotic group	P value
Gender			
Male Female	29 37	25 40	0.323
Mean age (SD) in years	39.29 (15.82)	39.20 (17.49)	0.976
Hypertension Yes No	20 46	18 47	0.446
Diabetes mellitus			
Yes No	8 58	13 52	0.161
Smoking			
Yes No	25 41	23 42	0.105
Alcohol			
Yes No	21 45	23 42	0.402
Drain Yes No	34 32	34 31	0.662

Table 2. Type of s	urgery	(N=131).		
Type of surgery	Total	Antibiotic	No antibiotic	P value
		Group	Group	
Thyroidectomy	50	27	23	
Excision of Neck	33	18	15	
Mass				0.770
Parotidectomy	26	12	14	
SMG excision	11	4	7	
Neck Dissection	6	2	4	
Sistrunk	5	3	2	

Table 3. Clinical c	haracteristics of	the patients. (N	=131).
Characteristics	Antibiotic Group	No antibiotic Group	P value
Drain Yes No	51.5%	47.69%	0.396

Table 3. Clinical characteristics of the patients. (N=131).				
Characteristics	Antibiotic Group	No antibiotic Group	P value	
Hematoma	_	_		
Yes	2	3	0.493	
NO	64	62		
Mean (SD)	132.32 (52.47)	124.94 (58.95)	0.296	
Duration of				
surgery in minutes				
Mean (SD) Blood loss in ml.	131.18(43.21)	132.31(59.17)	0.781	
Mean (SD)				
duration of	2.86(0.97)	2.83(0.78)	0.186	
hospital stay in				
days.				
Nerve injury				
	6.06%	4.61%	0.613	

Seroma			
	4.54%	4.61%	1.00
Adverse reaction to antibiotics	3.03%	NA	NA

Table 4. Risk factors associated with surgical site infections. (N=131).			
Characteristics	SSI	NO SSI	P value
Gender Male Female	7.4% 6.5%	92.6% 93.5%	1.00
Group Antibiotics No Antibiotics	6.1% 7.7%	93.9% 92.7%	0.744
Hypertension Yes No	7.9% 6.5%	92.1% 93.5%	0.718
Diabetes mellitus Yes No	9.5% 6.4%	90.5% 93.6%	0.636
Smoking Yes No	11.9% 4.5%	88.1% 9.5%	0.145
Alcohol Yes No	6.8% 6.9%	93.2% 93.1%	1.00
Drain Yes No	7.7% 6.1%	92.3% 93.9%	0.744

## DISCUSSION

Despite the improved surgical technique, method of asepsis, sterilization, and operative set up, surgical site infection is the major contributing factor of morbidity, mortality and financial burden to patients, family and overall health care system, and is the third most common source of hospital acquired infection.<sup>8</sup>

Though guidelines recommend against the use of antibiotics in clean head and neck surgeries, non-adherence to guidelines is reported up to 40%. This is partly explained using prophylactics antibiotics in circumstances which are not covered by guidelines i.e., radical neck dissection and physician notion of my patient is different from others and needs antibiotics.<sup>3</sup> In most of the centres in Nepal, perioperative antibiotics are still administered for varying duration for clean head and neck surgical procedures. <sup>9,10</sup>

In our study there were a total of 131 patients, 66 patients in prophylactic antibiotics group and 65 patients in no antibiotic group. We had more female patients in the study, the proportion of female to male patient was 1.42:1. The average age of the patients was 39.29 (16.20) years. There were no significant differences between the groups in terms of demographic variables including age, smoking status, and rate of diabetes mellitus, hypertension, and other factors like duration of hospital stay, amount of blood loss, rate of complications and nature of surgeries performed.

Our study included a diverse range of surgical procedures such as thyroidectomy, salivary gland surgeries, excision of neck masses and neck dissection, and thyroidectomy was the most prevalent surgical procedure in both the groups. It is also worth noting that many prior studies primarily focused on thyroid and parathyroid surgeries when analysing SSI in the context of clean head and neck surgeries. <sup>11-15</sup> However, in a study conducted by Chiesa et al parotidectomy was the most frequently performed surgical procedure. <sup>16</sup>

In our study the incidence of surgical site infection was 6.06% in prophylactic antibiotic group and 7.69% in no antibiotic group, and the difference was not statistically significant (p value 0.744). It is noteworthy that the reported incidence of SSI in clean head neck surgery is usually less than 2%. <sup>17</sup> The higher SSI rate observed in our study may be attributed to inclusion of broad spectrum of surgical procedure. Our study was not limited to thyroidectomy, instead encompassed different surgeries including parotidectomy, neck dissection and excision of cyst, sinuses, and fistula. Though parotidectomy is clean surgery, some authors believe retrograde flow of saliva through Stenson duct contaminates the surgical field increasing the risk of SSI and advocate the routine use of prophylactic antibiotics.6 Meccarielo G et al who included different spectrum of clean head and neck surgeries as our study registered SSI rate similar to our findings, with an SSI Incidence 6.5% in prophylactic antibiotics group and 7.9% in no antibiotic group and the difference was not significant.

Notably, some authors have suggested neck dissection, due to increased tissue exposure may entail a higher risk of SSI. Bergenfelz A et al reported postoperative infection rate of 1.6% in patients undergoing thyroidectomy but 5% in patients undergoing simultaneous neck dissections. <sup>18</sup>

Shkedy et al. found higher incidence of SWI after parotidectomies in female than male.<sup>6</sup> We did not find such difference, however, limited sample size precluded a subgroup analysis to focusing the specific surgical procedures including parotidectomies.

Nutritional status of the patient, presence of comorbidities, older age etc. are patient related factors whereas type and the duration of surgery, method of preoperative skin preparation, appropriate dose and timing of antimicrobial prophylaxis, use of drains , inadequate surgical instruments sterilization techniques , and quality of the operating theatre setup are external risk factors that may contribute to the Rate of SSI.<sup>13,19</sup> Some authors believe smoking, hypothyroidism and hypoalbuminemia increase the rate SSI.<sup>20</sup> In our study we were not able to detect significant differences in the rate of SSI between smokers and non-smoker, as well as patients with drains and those without drains. Additionally, we did not find an association between SSI rate and variables such as amount of blood loss, duration of hospital stays and comorbidities like diabetes mellitus and hypertension.

In the current study two patients developed adverse reactions to the antibiotics which were managed conservatively. In our study the risk reduction of surgical site infection with use of antibiotics was 0.0163 and number need to treat (NNT) was 61.35, which means we have to administer antibiotics in 61 patients to prevent one surgical site infection. Considering the low rate of SSI on one hand, and the potential of adverse events and development of drug-resistant organisms on the other and insignificant risk reduction with antibiotics, we feel routine use of prophylactic antibiotics clean head and neck surgeries is not recommended.

A key finding from our study is to underscore the significance of outcome auditing before implementing the change in practice. The study findings indicate that it is safe to change from conventional use of perioperative antibiotics to a practice where no antibiotics are administered at all in clean head and neck surgical procedures. This change in practice not only diminishes the unwarranted use of antibiotics but also mitigates

associated financial burden and insurgence of antibiotic resistance.

The strength of the study includes comprehensive inclusion of wide range of head and neck surgeries encompassing thyroidectomy, parotidectomy, neck dissections and excision of cyst, sinuses, and fistulas. We believe our study provides significant value to the published literature with diverse inclusion of surgeries. Moreover, the study was conducted in the tertiary care center of developing nation where the standard practice entails one week of intravenous antibiotics followed by variable duration of oral antibiotics.

A Limitation of the study was relatively small sample size which precludes us from subgroup analysis to find out the effect different variables. Furthermore, we lacked data on variables such as hypothyroidism, hypoalbuminemia, obesity, and malnutrition and could not analyze their potential impact on SSI. However, it is worth noting that both the groups included similar patients profile leading us to conclude that these variables may not significantly alter the incidence of SSI in clean head and neck surgeries.

## CONCLUSIONS

Based on the findings of the study, even in the operative set up of developing nations, prophylactics antibiotic does not offer significant advantages in preventing surgical site infections in clean head and neck surgeries. The routine use of antibiotics in such surgeries is not recommended. Additional multicentric studies with adequate sample size and comprehensive subgroup analysis of various risk factors will be helpful to gain the insight of effectiveness of routine antibiotics use in our context.

## **CONFLICT OF INTEREST**

The authors declare no conflict of interest.

## REFERENCES

- Weber RS, Callender DL. Antibiotic prophylaxis in clean-contaminated head and neck oncologic surgery. Ann Otol Rhinol Laryngol Suppl. 1992 Jan;155:16-20. doi: 10.1177/00034894921010s104. PMID: 1728894.
- Simo R, French G. The use of prophylactic antibiotics in head and neck oncological surgery. Curr Opin Otolaryngol Head Neck Surg. 2006 Apr;14(2):55-61.

doi: 10.1097/01.moo.0000193183.30687.d5. PMID: 16552259.

- Fennessy BG, Harney M, O'Sullivan MJ, Timon C. Antimicrobial prophylaxis in otorhinolaryngology/ head and neck surgery. Clin Otolaryngol. 2007 Jun;32(3):204-7. doi: 10.1111/j.1365-2273.2007.01440.x. PMID: 17550515.
- GUIA\_PARA\_LA\_PROFILAXIS\_ANTIBIOTICA\_EN\_ CIRUGIA.pdf [Internet]. [cited 2023 Oct 12]. Available from: https://medicinainterna.net. pe/images/guias/GUIA\_PARA\_LA\_PROFILAXIS\_ ANTIBIOTICA\_EN\_CIRUGIA.pdf.
- Moalem J, Ruan DT, Farkas RL, Shen WT, Kebebew E, Duh QY, Clark OH. Patterns of antibiotic prophylaxis use for thyroidectomy and parathyroidectomy: results of an international survey of endocrine surgeons. J Am Coll Surg. 2010 Jun;210(6):949-56. doi: 10.1016/j.jamcollsurg.2010.02.040. PMID: 20510804.
- Shkedy Y, Alkan U, Roman BR, Hilly O, Feinmesser R, Bachar G, Mizrachi A. Role of perioperative antibiotic treatment in parotid gland surgery. Head Neck. 2016 Apr;38 Suppl 1(Suppl 1):E1876-80. doi: 10.1002/hed.24339. Epub 2015 Dec 24. PMID: 26702565; PMCID: PMC4990133.
- Surgical Site Infection | Guidelines | Infection Control | CDC [Internet]. 2019 [cited 2021 Nov 10]. Available from: https://www.cdc.gov/ infectioncontrol/guidelines/ssi/index.html
- Sikora A, Zahra F. Nosocomial Infections. In: StatPearls [Internet]. Treasure Island (FL): StatPearls Publishing; 2023 [cited 2023 Oct 12]. Available from: http://www.ncbi.nlm.nih.gov/ books/NBK559312/
- Gupta S, Vasu Reddy C, Chettri ST, Karki S. Clinicopathological features and complications of thyroid operations: a single centre experience. Indian J Otolaryngol Head Neck Surg. 2013 Apr;65(2):140-5. doi: 10.1007/s12070-012-0609-3. Epub 2012 Dec 22. PMID: 24427554; PMCID: PMC3649034.
- Bhandari R, Aryal B, Sapkota A, Kandel D, Sharma S. Lateral Approach to Thyroid Surgery: An Alternative Open Thyroidectomy Technique. JNHLS. 2023 Jun 30;2(1):22-5.doi; https://doi.org/10.3126/jnhls.

#### v2i1.56203

- Avenia N, Sanguinetti A, Cirocchi R, Docimo G, Ragusa M, Ruggiero R, Procaccini E, Boselli C, D'Ajello F, Barberini F, Parmeggiani D, Rosato L, Sciannameo F, De Toma G, Noya G. Antibiotic prophylaxis in thyroid surgery: a preliminary multicentric Italian experience. Ann Surg Innov Res. 2009 Aug 5;3:10. doi: 10.1186/1750-1164-3-10. PMID: 19656389; PMCID: PMC2731779.
- Salem FA, Almquist M, Nordenström E, Dahlberg J, Hessman O, Lundgren CI, Bergenfelz A. A Nested Case-Control Study on the Risk of Surgical Site Infection After Thyroid Surgery. World J Surg. 2018 Aug;42(8):2454-2461. doi: 10.1007/s00268-018-4492-2. PMID: 29470699; PMCID: PMC6060833.
- Fachinetti A, Chiappa C, Arlant V, Kim HY, Liu X, Sun H, Dionigi G, Rovera F. Antibiotic prophylaxis in thyroid surgery. Gland Surg. 2017 Oct;6(5):525-529. doi: 10.21037/gs.2017.07.02. PMID: 29142844; PMCID: PMC5676179.
- Johnson JT, Wagner RL. Infection following uncontaminated head and neck surgery. Arch Otolaryngol Head Neck Surg. 1987 Apr;113(4):368-9. doi: 10.1001/archotol.1987.01860040030010. PMID: 3814385.
- Polistena A, Prete FP, Avenia S, Cavallaro G, Di Meo G, Pasculli A, Rondelli F, Sanguinetti A, Sgaramella LI, Avenia N, Testini M, Gurrado A. Effect of Antibiotic Prophylaxis on Surgical Site Infection in Thyroid and Parathyroid Surgery: A Systematic Review and Meta-Analysis. Antibiotics (Basel). 2022 Feb 22;11(3):290. doi: 10.3390/antibiotics11030290. PMID: 35326753; PMCID: PMC8944446.

- Chiesa-Estomba CM, Ninchritz E, González-García JA, Larruscain-Sarasola E, Sistiaga-Suarez JA, Altuna-Mariezcurrena X. Antibiotic Prophylaxis in Clean Head and Neck Surgery: An Observational Retrospective Single-Centre Study. Ear Nose Throat J. 2019 Jul;98(6):362-365. doi: 10.1177/0145561319853520. Epub 2019 May 28. PMID: 31138028.
- Patel PN, Jayawardena ADL, Walden RL, Penn EB, Francis DO. Evidence-Based Use of Perioperative Antibiotics in Otolaryngology. Otolaryngol Head Neck Surg. 2018 May;158(5):783-800. doi: 10.1177/0194599817753610. Epub 2018 Feb 6. PMID: 29405833.
- Bergenfelz A, Jansson S, Kristoffersson A, Mårtensson H, Reihnér E, Wallin G, Lausen I. Complications to thyroid surgery: results as reported in a database from a multicenter audit comprising 3,660 patients. Langenbecks Arch Surg. 2008 Sep;393(5):667-73. doi: 10.1007/s00423-008-0366-7. Epub 2008 Jul 17. PMID: 18633639.
- Spagnolo AM, Ottria G, Amicizia D, Perdelli F, Cristina ML. Operating theatre quality and prevention of surgical site infections. J Prev Med Hyg. 2013 Sep;54(3):131-7. PMID: 24783890; PMCID: PMC4718372.
- Hohenberger R, Bremer I, Brinster R, Plinkert PK, Federspil PA. Is antibiotic prophylaxis expendable in parotid gland surgery? A retrospective analysis of surgical site infection rates. Clin Otolaryngol. 2021 Sep;46(5):948-953. doi: 10.1111/coa.13753. Epub 2021 Mar 22. PMID: 33724686.